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Karen Cinq-Mars 6/23/04
(Signature & date)

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : June 22, 2004

Xiangdong Chen, et al.: Group Art Unit:

Serial No. 10/708,381 : Examiner:
Filed: 2/27/04 : International Business Machines Corporation
2070 Route 52
Hopewell Junction, NY 12533

TITLE: GATE CONTROLLED FLOATING WELL VERTICLE MOSFET

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. 1.56, and further pursuant to the provisions of 37 C.F.R. 1.97 and 1.98, applicants hereby respectfully submit copies of the non-US patents and publications as listed on Form PTO-1449, attached hereto.

In citing these documents, no representation is made nor intended as to the pertinency or non-pertinency of the art, that better art than that listed is not available, or that other art is not applicable.

No fee is believed to be due for this submission. If any fees are required, however, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 09-0458.

Respectfully submitted,
Xiangdong Chen, et al.

By

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | Docket Number (Optional) FIS920030367 | | Application Number | |
|--|-----------------|-----------------|----------|---|----------|--------------------|----------------------------|
| | | | | Applicant(s) Xiangdong Chen et al. | | | |
| | | | | Filing Date 2/27/04 | | Group Art Unit | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | | 2003/0116792 | 6/26/03 | Chen et al. | | | |
| | | 2003/1055609 | 8/21/03 | Mandelman et al. | | | |
| | | 5,455,801 | 10/3/95 | Blodgett et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> | | | | | | | |
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| EXAMINER | | | | DATE CONSIDERED | | | |